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FORM PTO-1449	SERIAL NO. Not yet assigned	CASE NO. 10808/230
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	GROUP ART UNIT N/A
(use several sheets if necessary)		APPLICANT(S): Andrew Graham et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>ca</i>	A1	6,707,098 B2	March 16, 2004	Hofmann et al.		
<i>ca</i>	A2	US 2002/130311 A1	September 19, 2002	Cui et al.		
<i>ca</i>	A3	US 2002/0130311 A1	September 19, 2002	Lieber et al.		
<i>ca</i>	A4	6,407,426 B1	June 18, 2002	Ahn et al.		
<i>ca</i>	A5	6,740,910 B2	May 25, 2002	Roesner et al.		
<i>ca</i>	A6	6,361,861 B2	March 26, 2002	Gao et al.		
<i>ca</i>	A7	US 2002/0001905 A1	January 3, 2002	Choi et al.		
<i>ca</i>	A8	US 2002/0023986 A1	September 27, 2001	Mancevski	2001/0023986	
<i>ca</i>	A9	5,899,734	May 4, 1999	Lee		

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
<i>ca</i>	A10	EP 1 170 799 A2	January 9, 2002	EPO		
<i>ca</i>	A11	DE 10032370	December 13, 2001	Germany		
<i>ca</i>	A12	DE 100 36 897 C1	July 28, 2000	Germany		

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
<i>ca</i>	A13	S.J. Wind, J. Appenzeller, R. Martel, V. Derycke and Ph. Avouris, <i>Vertical Scaling of Carbon Nanotube Field-Effect Transistors Using Top Gate Electrodes</i> , pgs. 3817-3819, Vol. 80, No. 20, May 20, 2002.
<i>ca</i>	A14	M.S. Fuhrer, B.M. Kim, T. Durkop and T. Brintlinger, <i>High-Mobility Nanotube Transistor Memory</i> , pgs. 755-759, Vol. 2, No. 7, American Chemical Society 2002.
<i>ca</i>	A15	M. Radosavljevic, M. Freitag, K.V. Thadani, A.T. Johnson, <i>Nonvolatile Molecular Memory Elements Based on Ambipolar Nanotube Field Effect Transistors</i> , pgs. 761-764, Vol. 2, No. 7, American Chemical Society, 2002.
<i>ca</i>	A16	Howard Pein and James D. Plummer, <i>Performance of the 3-D PENCIL Flash EPROM Cell and Memory Array</i> , pgs. 1982-1991, No. 11, IEEE Transactions on Electron Devices, November 1995.
	A17	<del>Richard Feynman, <i>The Physics of Nanotubes</i>, pgs. 111-155. — no date</del>
	A18	<del>Peter J. F. Harris, <i>Carbon Nanotubes and Related Structures</i>, pgs. 1-15. — no date</del>
<i>a</i>	A19	Copy of the International Examination Report from corresponding PCT patent application no. PCT/DE03/03588.
<i>ca</i>	A20	Copy of the International Search Report from corresponding PCT patent application no. PCT/DE03/03588.

EXAMINER <i>ngly</i>	DATE CONSIDERED 04-29-07
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EXAMINER: Initial, if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.